

## Effect of Smoking on Parameters of Polysomnography

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### Abstract

**Background and Objective:** Obstructive sleep apnea (OSA) syndrome is a kind of sleep-related disorder with complex features and may lead to daytime sleepiness. This study aimed to find the differences between smokers and non-smokers regarding the parameters of polysomnography.

**Materials and Methods:** This was a cross-sectional study conducted on consecutive patients referred to a sleep lab to perform polysomnography for sleep-related breathing disorders in Shahid Sadoughi University of Medical Sciences in 2014. For all participants, overnight polysomnography study was performed for at least 6 hours. Then, parameters of polysomnography were compared between smokers and non-smokers. Data were analyzed by SPSS using chi-square test, Student's t-test, Mann-Whitney U-test, and logistic regression analysis.

**Results:** In total, 228 subjects were enrolled in the study (155 non-smokers). Obstructive apnea number and index, total apnea number, apnea-hypopnea index (AHI), baseline and average saturation by pulse oximeter, snore, and snore arousal were significantly different between smokers and non-smokers ( $P < 0.050$ ). Severe OSA was the most commonly observed among smokers, but AHI was not significantly correlated with pack-years of smoking.

**Conclusion:** The results of this study showed that smokers suffer from severe OSA more frequent than non-smokers; obstructive apnea is more common among smokers with higher AHI.

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**Keywords:** Obstructive sleep apnea syndrome; Smoking; Apnea-hypopnea index; Snoring

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### Introduction

Obstructive sleep apnea syndrome (OSAS) is a kind of sleep-related chronic disorder with such complex features as repetitive sleep apnea, snoring, and oxygen desaturation which may lead to daytime sleepiness (1, 2). Obstructive apnea is a complete or near-complete interruption of airflow for at least 10

seconds during sleep (2). OSAS may lead to some complications such as cardiovascular disorders and hypertension, depression, metabolic syndrome, and sudden death (2).

OSAS is a more common in males with an incidence of 2.1-8.8% in different populations (1, 3-5). Its prevalence has been estimated to be between 8% and 33% and is affected by such factors as age and obesity (2, 6-8).

Other risk factors of this disorder include craniofacial anatomy and

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anomalies, neck circumference, abdominal circumference, genetics (2, 9), alcohol ingestion, and ethnicity (10, 11). Smoking which is a known predisposing factor for pulmonary and cardiovascular diseases is highly prevalent in OSAS patients (12). Smoking has also been implicated as a risk factor of OSAS (13).

Smoking affects the mucosa of the upper airway and uvula (1). The studies have confirmed that smoking increases the morbidity of sleep-related respiratory disorders (13, 14), but the association between smoking and OSAS is yet controversial; the correlation between the amount of cigarette smoking and the severity of OSAS and other parameters measured in polysomnography, such as arousals, are not well-documented as well.

Some studies, based on questionnaires, have shown a higher frequency of sleep problems such as difficulty falling asleep, maintaining sleep, and snoring among smokers (8, 15-17). Moreno et al. (18) in a questionnaire-based study found smoking as an independent risk factor for OSA.

Boussoffara et al. (19) found that severe OSAS is more common among smokers; Kashyap et al., (20) and Wetter et al. (13) also found a higher frequency of moderate and severe OSAS among smokers than non-smokers. Hoflstein (21) found a greater number of current smokers with apnea hypopnea index (AHI)  $\geq 50$  than among those with AHI  $< 10$ , and they concluded that smoking is not an independent risk factor for sleep apnea when considering such confounding variables as age and body mass index (BMI) (21).

Casasola et al. (22) found that smoking does not affect OSA. Conway et al. (23) observed that both current and former smokers had a higher arousal index

compared to never smokers. Zhang et al. (24) found that cigarette smoking could increase the latency to sleep onset and make a shift toward lighter stages of sleep. Neruntarat and Chantapant (25) found a higher prevalence of OSA in smokers than non-smokers.

Although an association has been found between smoking and some parameters of sleep, there is still controversy about the exact effect of smoking and parameters of polysomnography. This study was conducted to find the differences between smokers and non-smokers regarding the parameters of polysomnography.

## Materials and Methods

This was a cross-sectional study conducted in the Sleep Lab of University, Occupational Medicine Department, in Yazd, Iran. The study was approved by the Ethics Committee of Shahid Sadoughi University of Medical.

### Subjects

Subjects were selected from consecutive patients who were referred to sleep lab to perform polysomnography for sleep-related breathing disorders during December 2012-March 2014 that were diagnosed as having OSAS. The subjects were assessed in two groups; those with known chronic respiratory diseases, opium and hookah use, and smoking  $< 1$  pack-year (PY) were excluded from the study. An informed consent was obtained from each participant.

### Smoking history

Smoking history was quantified as PY of smoking (i.e., the number of cigarettes smoked per day divided by 20 and multiplied by years of smoking). Those with at least one PY smoking were considered as current smokers, and those

who have quitted smoking for at least 1 year were considered as former smokers. Smokers were divided into two groups:  $\geq 10$  PY and  $< 10$  PY.

### ***Sleep study***

All subjects performed an overnight polysomnography study (device: Somnomedics, Germany) for at least 6 hours. The device consisted of 10 electroencephalogram (EEG) channels, two electrooculogram channels, a pressure transducer (cannula), a thermistor for oral and nasal airflow, a pulse oximeter for oxygen saturation and pulse, two piezoelectric bands for thoracic and abdominal movements, a snore sensor, two sensors for leg movements, and two sensors for chin electromyogram. Sensors were attached in the evening, and after calibration, the recording was initiated.

The parameters of polysomnography including apnea (obstructive, central, and mixed), apnea index, hypopnea and hypopnea index, AHI, O<sub>2</sub> desaturation count and index, baseline and average saturation by pulse oximeter (SPO<sub>2</sub>), arousal number and index, and snore number and index were recorded. The patients were asked not to sleep in the afternoon before test, eat a small dinner and not to use sleeping pills.

The recordings were analyzed using Somnomedics software (Somnomedics, Germany). The analysis was performed according to American Association of Sleep Medicine manual, 2007 (26), i.e., complete cessation of airflow  $\geq 10$  seconds was defined as apnea using the thermistor recordings; hypopnea was defined as a more than 50% reduction in airflow associated with  $\geq 3\%$  drop in O<sub>2</sub> saturation using the pressure sensor. AHI

was defined as the number of apneas + hypopneas per sleeping hour. Arousals were defined as an abrupt shift in EEG waves frequency for at least 3 seconds with at least 10 seconds of stable sleep before the event. The oxygen desaturation index was defined as the number of oxygen desaturations  $\geq 3\%$  per sleeping hour.

Those with an AHI  $\geq 5$  were considered as having OSAS, and OSA severity was classified as mild ( $5 \leq \text{AHI} < 15$ ), moderate ( $15 \leq \text{AHI} < 30$ ), or severe ( $\text{AHI} \geq 30$ ).

### ***Other measurements***

Neck and abdominal circumferences were measured by a tape meter. Height was measured using a stadiometer and weight was measured by a digital scale (Laica, Italy, accuracy: 100 g). BMI was calculated by dividing weight (in kg) by square of height (in m).

### ***Statistical analysis***

Data were analyzed by SPSS (version 20; SPSS, Inc., Chicago, IL, USA) using chi-square test, Student's t-test, Mann-Whitney U-test, and logistic regression analysis. The level of significance was set at  $P < 0.050$ .

### **Results**

In total, 228 subjects entered the study (155 non-smokers and 73 smokers). Table 1 compares demographic and anthropometric data between two groups.

The parameters of polysomnography that were significantly different between smokers and non-smokers included obstructive apnea number and index, total apnea number, AHI, baseline and average SPO<sub>2</sub>, snore, and snore arousal. Table 2 compares the parameters of polysomnography between two groups.

**Table 1.** Demographic and anthropometric data of two groups

Variable	Mean $\pm$ SD	Median	P value
Age (year)			
S	48.66 $\pm$ 12.74	43.5	0.920
NS	48.75 $\pm$ 12.99	47	
BMI (kg/m <sup>2</sup> )			
S	32.58 $\pm$ 4.79	31.9	0.250
NS	32.27 $\pm$ 5.26	30	
Neck circumference (cm)			
S	43.86 $\pm$ 4.82	44	0.004**
NS	41.83 $\pm$ 3.83	42	
Abdominal circumference (cm)			
S	111.89 $\pm$ 12.33	110	0.430
NS	110.45 $\pm$ 13.63	108	

\*\*Significant difference. SD: Standard deviation, S: Smoker, NS: Non-smoker

In total, 26.8%, 23.7%, and 49.6% of the patients suffered from mild, moderate, and severe OSA, respectively.

Table 3 shows the frequency of different severities of OSA in smokers and non-smokers.

Categorization of the subjects according to the severity of OSA showed that severe OSA is most commonly observed among smokers [odds ratio for severe OSA = 2.06, 95% confidence interval (CI) = 1.16-3.63, P = 0.016].

There was no significant difference between current and former smokers regarding the frequency of severe OSA (odds ratio = 2.30, 95% CI = 0.72-7.25, P = 0.180). Figure 1 compares the frequency of different severities of OSA between smokers and non-smokers.

Regression analysis showed that after adjusting for neck circumference, AHI is again significantly different between smokers and non-smokers (adjusted P = 0.027).

**Table 2.** Comparison of polysomnographic parameters between smokers and non-smokers

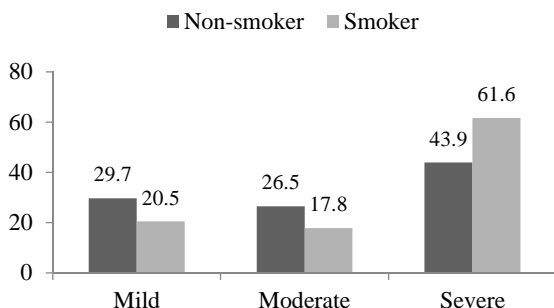
Variable	Mean $\pm$ SD		Median		P value***
	S	NS	S	NS	
Obstructive apnea (n)	99.14 $\pm$ 125.26	58.17 $\pm$ 89.73	42	25	0.007
Obstructive apnea index	17.56 $\pm$ 21.62	10.18 $\pm$ 14.06	6.9	5.1	0.011
Central apnea (n)	17.63 $\pm$ 55.51	6.33 $\pm$ 14.27	0	1	0.890
Mixed apnea (n)	54.55 $\pm$ 94.07	53.65 $\pm$ 97.27	8	7	0.450
Total apnea (n)	167.75 $\pm$ 170.69	118.32 $\pm$ 144.25	102	54	0.013
Apnea index	30.90 $\pm$ 42.90	23.36 $\pm$ 30.25	14.6	10.8	0.080
Hypopnea (n)	72.18 $\pm$ 61.13	69.63 $\pm$ 55.79	57	58	0.830
Hypopnea index	13.47 $\pm$ 12.21	12.79 $\pm$ 9.56	9	10	0.870
AH number	234.95 $\pm$ 167.35	185.36 $\pm$ 149.37	180.5	135	0.020
AHI	40.65 $\pm$ 25.48	33.98 $\pm$ 25.04	37.9	26.4	0.034
O <sub>2</sub> desaturation (n)	214.45 $\pm$ 136.68	186.81 $\pm$ 140.74	167	140	0.110
O <sub>2</sub> desaturation index	37.51 $\pm$ 22.95	37.63 $\pm$ 31.95	32	29.8	0.470
Baseline SPO <sub>2</sub>	89.38 $\pm$ 5.07	91.08 $\pm$ 4.11	91	93	0.005
Average SPO <sub>2</sub>	88.49 $\pm$ 5.63	89.41 $\pm$ 8.12	91	92	0.044
Arousal (n)	125.46 $\pm$ 60.35	115.17 $\pm$ 53.77	123	109	0.190
Arousal index	21.16 $\pm$ 10.75	20.15 $\pm$ 7.83	20.6	19.8	0.890
Snore arousal (n)	17.60 $\pm$ 14.72	13.60 $\pm$ 14.26			0.036
Snore arousal index	2.85 $\pm$ 2.29	2.31 $\pm$ 2.26			0.056
Snore (n)	2595.42 $\pm$ 1323.01	2167.41 $\pm$ 1270.98	2493.5	2054	0.046
Snore index	422.29 $\pm$ 194.58	376.96 $\pm$ 206.30	430	373.1	0.140

\*\*\*Underlined cells show significant difference. SD: Standard deviation, S: Smoker, NS: Non-smoker, AHI: Apnea hypopnea index, SPO<sub>2</sub>: Saturation by pulse oximeter

**Table 3.** Frequency of different severities of OSA in smokers and non-smokers

OSA severity	Non-smoker n (%)	Smoker n (%)
Mild	46 (29.7)	15 (20.5)
Moderate	41 (26.5)	13 (17.8)
Severe	68 (43.9)	45 (61.6)

OSA: Obstructive sleep apnea

**Figure 1.** Comparison of different severities of obstructive sleep apnea between smokers and non-smokers

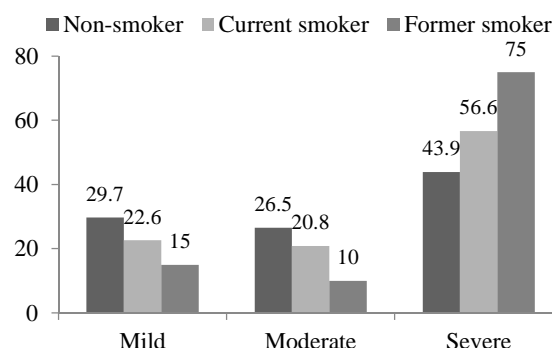
Among smokers, 53 were current smokers and 20 were former smokers. Mean  $\pm$  standard deviation age was  $45.94 \pm 11.98$  and  $55.85 \pm 12.15$  years in current and former smokers, respectively, and the difference was significant ( $P = 0.003$ ). Other parameters (i.e., BMI, neck circumference and abdominal circumference) were not significantly different between two groups.

Figure 2 compares the frequency of different AHI severities among non-smokers, current smokers, and former smokers. About 44% of non-smokers, 54% of current smokers and 75% of former smokers suffered from severe OSAS.

There was a significant difference in AHI among subjects regarding the status of smoking ( $P = 0.023$ ). After adjusting for age, the difference in AHI between current and former smokers was not significant ( $P > 0.050$ ).

AHI was not significantly correlated with PYs of smoking ( $r = 0.35$ ,  $P = 0.760$ ). After dividing the smokers into  $PY > 10$  and  $PY \leq 10$ , there was not a

significant difference regarding the severity of OSA between two groups ( $P = 0.250$ ).

**Figure 2.** Comparison of different severities of obstructive sleep apnea between current smokers, former smokers, and non-smokers

## Discussion

Smoking affects many organs in the body. It is believed that it may affect the quality of sleep as well which can be assessed by some questionnaires and more accurately by polysomnography. In this study, we compared the parameters of polysomnography between smokers and non-smokers.

The results of the current study showed that most parameters of polysomnography are different between smokers and non-smokers, and this difference is statistically significant in some parameters including obstructive apnea number and index, total apnea number, baseline and average  $SPO_2$ , and snore, and snore arousal. The difference in snore index and snore arousal index was not statistically significant, but there was a considerable difference which is clinically significant.

There is a controversy in the results of different studies which is probably due to different methodologies and different study populations (14, 20, 21, 23, 27). Many studies have used questionnaires for assessment of the effect of smoking on

sleep parameters (18, 27, 28), but the most recent studies have used more objective parameters such as parameters of polysomnography (1, 19), especially respiratory parameters; few studies have assessed O<sub>2</sub> desaturation (22, 23). Thus, in the current study, we aimed to detect the effect of smoking and smoking severity, considering current and former smokers, on objective sleep parameters.

Smoking may affect sleep by several possible mechanisms, including upper airway inflammation, stimulation of upper airway muscles by nicotine and progressive decrease in blood nicotine concentrations, after a few hours of sleep, which may increase upper airway resistance (as a rebound effect), reduced nasal cavity dimensions, low airflow and a less-compliant nasal mucosa (20, 29-31).

The complaint of disturbed sleep is more common among smokers (24, 32). The most questionnaire-based studies have found a significant difference between smokers and non-smokers regarding sleep parameters (18, 27, 29). Zhang et al. (24) found that smoking significantly affects sleep architecture (total sleep time and sleep pattern), but they did not assess respiratory events of sleep.

The reports of the effects of smoking habits on AHI are controversial (20-23). Boussoffara et al. (19) in a study on 151 OSAS patients found that AHI was significantly higher among current smokers than non-smokers (42.96/h vs. 28.77/h), a similar result was also observed in the current study, though with a smaller difference (40.65/h vs. 33.95/h). We found that smokers are 2.06 times more likely suffering from severe OSAS. This measure was 3.7 times in the study conducted by Boussoffara et al. (19). In the current study, severe OSAS was more common among

smokers, but Kim et al. (1) and Wetter et al. (13) found that both severe and moderate OSAS were more common among smokers, although the mean age in our study population was higher. Hoflstein (21) and Casasola et al. (22) could not find a relationship between smoking and severity of OSA. The latter study did not find a difference between smokers and non-smokers regarding AHI (22).

In the current study, the arousals were not significantly different between smokers and non-smokers, but Conway et al. (23) found that arousal index was significantly higher among current and former smokers than non-smokers. Due to the higher snore in the smokers, snore arousals were also more common among smokers in the current study consistent with the study conducted by Wetter et al. (13), although they did not use polysomnography for assessment of sleep parameters.

Inconsistent with the results of the current study, Conway et al. (23) did not find a difference between smokers and non-smokers regarding respiratory events. Baseline and average SPO<sub>2</sub> was significantly lower among the smokers in the present study without any difference between heavy and light smokers; Conway et al. also assessed SPO<sub>2</sub> and O<sub>2</sub> desaturation and found that smokers had a higher total sleep time with hypoxia, and this difference was also observed between heavy and light smokers, although they defined heavy smoking as smoking  $\geq 15$  PYs, but our definition was smoking  $\geq 10$  PYs.

We could not find a correlation between the PYs of smoking and severity of OSA, but Conway et al. (23) and Wetter et al. (13) found this correlation. This difference is probably due to different definitions of heavy smoking.

This study had some limitations: first, this was a cross-sectional study which suffers from the intrinsic limitations of these kinds of studies, so a causal relationship cannot be concluded from this study. The data about smoking was self-reported, so it may be subject to recall bias. For detecting exclusion criteria, we used only clinical examination, and other diagnostic measures (laboratory and pathology) could not be performed due to monetary limitations. We could not exclude passive smokers. We did not have information about alcohol consumption.

## Conclusion

The results of this study showed that smokers suffer from severe OSA more frequently than non-smokers, and obstructive apnea is more common among smokers, but the severity of smoking did not affect the severity of OSA. In addition, current and former smokers were not significantly different regarding sleep parameters.

## Conflict of Interests

Authors have no conflict of interests.

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